

# BLUE STAMP C O M P A N Y

## Financial Services Guide

### Blue Stamp Company Pty Ltd

Australian Company Number: 141 440 931

Australian Financial Services Licence: 495417

Address: Level 2, 1 Breakfast Creek Road  
Newstead, QLD, 4006

Postal address: PO Box 649  
Fortitude Valley QLD 4006

Phone: +61 7 3180 3826

Email: [info@bluestampcompany.com](mailto:info@bluestampcompany.com)

## Financial Services Guide

Dated: 24 November 2021

### Providing entity

This Financial Services Guide ('FSG') is issued by Blue Stamp Company Pty Ltd (ABN 51 141 440 931) ('Blue Stamp', 'we', 'our' or 'us'). Blue Stamp is the holder of an Australian Financial Services Licence No. 495417 ('AFSL').

Blue Stamp was founded in 2010 and is the Trustee and Manager of the Blue Stamp Trust ('Trust'). The Trust is operated as an unregistered managed investment scheme. Information about the Trust can be found in the Information Memorandum which is available upon request.

Our contact details are as follows:

- street address: Building 1, Level 2, 1 Breakfast Creek Rd, Newstead Qld 4006
- postal address: PO Box 649, Fortitude Valley Qld 4006
- phone: +61 7 3180 3826
- email: [info@bluestampcompany.com](mailto:info@bluestampcompany.com)

### About this FSG

This FSG is an important document which we are required to provide you. It sets out important information about the financial services we provide and is designed to help you decide whether to use any of those financial services.

This FSG provides information about the financial services we provide, including:

- the services and types of financial products we are authorised to provide;
- how we and others are paid for the financial services we provide;
- how we deal with privacy; and
- how we deal with complaints.

### Investing with Blue Stamp and providing instructions

To invest in the Trust, you will need to consider the Information Memorandum and complete its accompanying application form. Once invested, you can provide us with further instructions about your investment by contacting us in person, in writing, over the phone or via email using the above contact details.

### Financial services provided by Blue Stamp

As issuer of the Trust, Blue Stamp is authorised under its AFSL to carry on a financial services business which:

- (a) provides general financial product advice on interests in managed investment schemes, excluding investor directed portfolio services;
- (b) deals in a financial product by:
  - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
    - (A) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:

- (1) management or mitigation of the financial consequences of particular circumstances happening; or
- (2) avoiding or limiting the financial consequences of fluctuations, in or in the value of, receipts or costs (including prices and interest rates);
- (B) foreign exchange contracts restricted to foreign exchange contracts utilised for the management of financial risk (hedging) which only have the purposes of:
  - (1) management or mitigation of the financial consequences of particular circumstances happening; or
  - (2) avoiding or limiting the financial consequences of fluctuations in the rate of exchange of foreign currency;
- (C) interests in managed investment schemes limited to:
  - (1) own managed investment scheme only; and
- (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
  - (A) deposit and payment products limited to:
    - (1) basic deposit products;
    - (2) deposit products other than basic deposit products;
  - (B) derivatives restricted to derivatives utilised for the management of financial risk (hedging) which only have the purposes of:
    - (1) management or mitigation of the financial consequences of particular circumstances happening; or
    - (2) avoiding or limiting the financial consequences of fluctuations, in or in the value of, receipts or costs (including prices and interest rates);
  - (C) foreign exchange contracts restricted to foreign exchange contracts utilised for the management of financial risk (hedging) which only have the purposes of:
    - (1) management or mitigation of the financial consequences of particular circumstances happening; or
    - (2) avoiding or limiting the financial consequences of fluctuations in the rate of exchange of foreign currency;
  - (D) interests in managed investment schemes excluding investor directed portfolio services; and
  - (E) securities; and
- (c) provides custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

Blue Stamp provides the above financial services on its own behalf.

### **Remuneration**

Blue Stamp receives an annual management fee of 1% (excluding GST) of the net asset value of the Trust. The management fee is paid by the Trust monthly and is calculated using the net asset value of the Trust as at the end of each calendar month. The management fee is capped at \$5,000,000 per annum. Any amount paid under the management fee, will be rebated against any accrued

performance fee. If the management fee paid exceeds the performance fee, the excess will be carried forward to be rebated against future performance fees.

Subject to meeting a high water mark and exceeding an annual 10% performance benchmark after fees and expenses, a performance fee of 30% (excluding GST) is payable on investment returns. The performance fee accrues daily and is payable to Blue Stamp annually after the end of each financial year. High water marks are set at the end of a financial year and act as a safeguard to investors in periods of poor performance by ensuring performance fees cannot be earned until such time as the Trust's performance exceeds the previous high water mark. This is a summary only and detailed information and worked examples in relation to the calculation of performance fees are included in the Information Memorandum which is available on request.

There is no set remuneration structure in place for Blue Stamp's staff. Mr Luke Trickett may directly or indirectly receive some or all of Blue Stamp's remuneration.

We do not pay fees to third parties for referring investors to us.

Blue Stamp and its associates do not have any other associations or relationships with other issuers of any financial products which might reasonably be expected to be capable of influencing the financial services we provide.

## **Complaints**

If you have a complaint about us or the Trust please contact our Dispute Resolution Officer and we will seek to resolve your complaint quickly and fairly. Your concerns can be provided either verbally or in writing to the Dispute Resolution Officer via one of the following methods:

- in person: Building 1, Level 2, 1 Breakfast Creek Rd, Newstead Qld 4006
- by mail: PO Box 649, Fortitude Valley Qld 4006
- by phone: +61 7 3180 3826
- by email: [info@bluestampcompany.com](mailto:info@bluestampcompany.com)

Your complaint will be promptly acknowledged in writing and you will be advised of the steps we will take to resolve it. We will endeavour to resolve it as quickly as possible. If we do not resolve it within 30 calendar days or if you are not satisfied with our findings, you can refer the complaint to the Australian Financial Complaints Authority (AFCA). We are a member of AFCA and they will be able to advise you whether they can assist you in this matter. Our membership number is 39872, which you should quote when referring your complaint.

The contact details for AFCA are:

- by mail: GPO Box 3, Melbourne VIC 3001
- by email: [info@afca.org.au](mailto:info@afca.org.au)
- by phone: 1800 931 678
- website: [www.afca.org.au](http://www.afca.org.au)

## **Documents for Retail Clients**

Any offers for investment in the Trust will be structured as excluded offers and eligible investors will receive an Information Memorandum. Only personal offers to eligible investors will be made. An eligible investor is someone who is personally known to us or with whom we have had prior dealings with in regards to investing money or a wholesale client being a person who is not required under

the *Corporations Act 2001* ('Corporations Act') to be given a disclosure document complying with the Corporations Act.

### **Insurance coverage**

As the holder of an AFSL we are required to have professional indemnity insurance that is adequate having regard to the nature of our business and the potential for compensation claims. We have an insurance policy in place which covers professional indemnity, management liability and crime insurance. We are satisfied that the policy meets the requirements for compensation arrangements under section 912B of the Corporations Act. The cover extends to include losses arising from the conduct of former employees or representatives of Blue Stamp after they cease to work for us.

### **Conflicts of interest**

Potential conflicts of interest may arise between the interests of investors, Blue Stamp, our related parties or other third parties (including service providers appointed by us). We have an obligation to manage conflicts of interest and act in the best interests of investors, and if there is a conflict, give priority to investors' interests. We have arrangements in place to manage conflicts of interest. Blue Stamp and its related entities, or their directors or officers, may invest in the Trust.

### **Your privacy**

We take reasonable steps to ensure that personal information about an investor that we collect, use or disclose is accurate, complete and up to date. All personal information collected will be handled in accordance with our Privacy Policy. Under the *Privacy Act 1988* and its amendments, an investor may request access to the personal information we and our service providers hold about them. The investor may request to amend or correct information, however if we do not agree with the requested changes, we are not obliged to make those changes.

Investors can request access to their personal information or a copy of our Privacy Policy by contacting the Privacy Officer on the contact details stated in this FSG. For complaints concerning privacy matters which have not been resolved to your satisfaction, you can lodge a complaint with the Office of the Australian Information Commissioner via their online Privacy Complaint Form, available at <https://www.oaic.gov.au/privacy/privacy-complaints/>.